

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEBRASKA

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OFFICE OF THE CLERK

IN RE: COURT ADOPTION)
OF COURT REGISTRY) GENERAL ORDER NO. 2011-15
INVESTMENT SYSTEM (CRIS))
FOR REGISTRY CASES)

The Court has determined that it is necessary to adopt local procedures to ensure uniformity in the deposit and investment of funds in the court's registry,

IT IS ORDERED that the following will govern the receipt, deposit, and investment of registry funds:

1. Receipt of Funds.

- A. No money will be sent to the court or its officers for deposit into the court's registry without a court order signed by the presiding judge in the pending case.
- B. Unless provided for elsewhere in this order, all monies to be paid to the court or received by its officers in any case pending or adjudicated will be deposited with the Treasurer of the United States in the name and to the credit of this court pursuant to 28 U.S.C. § 2041 through depositories designated by the Treasury to accept such deposits on its behalf.
- C. The party making the deposit or transferring funds to the court's registry will serve the order permitting the deposit or transfer on the clerk of the court.

2. Investment of Registry Funds.

- A. Where, by order of the court, funds on deposit with the court are to be placed in some form of interest-bearing account, the Court Registry Investment System (CRIS) administered through the Administrative Office of the United States Courts will be the only investment mechanism authorized.
- B. The Director of the Administrative Office of the United States Courts is designated as custodian for the CRIS. The Director or the Director's designee will perform the duties of custodian. Funds held in the CRIS remain subject to the control and jurisdiction of the court.
- C. Money from each case deposited in the CRIS will be "pooled" together with those on deposit with the Treasury to the credit of other courts in the CRIS and used to purchase Government Account Series securities through the Bureau of Public Debt, which will be held at the Treasury, in an account in the name and to the credit of the Director of the Administrative Office of the

United States Courts. The pooled funds will be invested in accordance with the principles of the CRIS Investment Policy approved by the Registry Monitoring Group.

- D. An account for each case will be established in the CRIS titled in the name of the case giving rise to the investment in the fund. Income generated from fund investments will be distributed to each case based on the ratio each account's principal and earnings have to the aggregate principal and the income total in the fund. Reports showing the interest earned and the principal amounts contributed in each case will be prepared and distributed to each court participating in the CRIS and made available to litigants and their counsel.

3. Deductions of Fees.

- A. The custodian is authorized and directed by this order to deduct the investment services fee for the management of investments in the CRIS and the registry fee for maintaining accounts deposited with the Court.
- B. The registry fee is assessed by the custodian from each case's pro rata distribution of the earnings and is to be determined on the basis of the rates published by the Director of the Administrative Office of the United States Courts as approved by the Judicial Conference of the United States.
- C. The investment services fee is assessed from interest earnings to the pool according to the Court's Miscellaneous Fee Schedule and is to be assessed before a pro rata distribution of earnings to court cases.

4. Transition From Former Investment Procedure.

- A. The clerk of court is further directed to develop a systematic method to redeem all existing investments and transfer them to the CRIS.
- B. This order supersedes and abrogates all prior orders of this court regarding the deposit and investment of registry funds.

DATED this 22nd day of December, 2011.

FOR THE COURT:

s/Laurie Smith Camp
Chief United States District Judge